

PATENTS 15311-2285U1

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: Roger D. Pannell Serial No.: 09/944,517 Examiner: Not yet assigned Filed: August 31, 2001 Art Unit: 2182 For: SYSTEM AND METHOD FOR IN. CREASING THE COUNT OF **OUTSTANDING SPLIT TRANS-ACTIONS** Cesari and McKenna, LLP 88 Black Falcon Avenue **COPY OF PAPERS** Boston, MA 02210 **ORIGINALLY FILED** October 29, 2001

# **CERTIFICATE OF MAILING**

I hereby certify that the following paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on October 29, 2001.

Safiya Jarvis

X Formal Drawings (7 sheets)

X Response to Notice to File Corrected

**Application Papers** 

X Return Receipt Postcard

X Letter to Official Draftsman

X Formalities Letter



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re The Application of: Roger D. Pannell                                                     | )           |                                                                                           |
|------------------------------------------------------------------------------------------------|-------------|-------------------------------------------------------------------------------------------|
| Serial No.: 09/944,517                                                                         | )           | Examiner: Not yet assigned                                                                |
| Filed: August 31, 2001                                                                         | )           |                                                                                           |
| For: SYSTEM AND METHOD FOR IN-<br>CREASING THE COUNT OF<br>OUTSTANDING SPLIT TRANS-<br>ACTIONS | )<br>)<br>) | Art Unit: 2182                                                                            |
|                                                                                                | ,           | Cesari and McKenna, LLP<br>88 Black Falcon Avenue<br>Boston, MA 02210<br>October 29, 2001 |

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Safiya Jarvis

Honorable Commissioner of Patents and Tradernarks

Washington, D.C. 20231

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Sir:

### LETTER TO OFFICIAL DRAFTSMAN

If the Examiner in charge of the above-identified application approves, please substitute the enclosed drawing sheets containing formal versions of Figures 1-7 for the corresponding drawing sheets currently in the application.

### PATENTS 15311-2285U1

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

Michael R. Reinemann

Reg. No. 38,280

CESARI AND MCKENNA, LLP

mill R. Rim

88 Black Falcon Avenue Boston, MA 02210-2414

(617) 951-2500

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/944.517

08/31/2001

Roger D. Pannell

15311-2285U1

24267 CESARI AND MCKENNA, LLP 88 BLACK FALCON AVENUE BOSTON, MA 02210

COPY OF PAPERS ORIGINALLY FILED CONFIRMATION NO. 3324
FORMALITIES LETTER
\*OC000000006849211\*

Date Mailed: 10/04/2001

#### NOTICE TO FILE CORRECTED APPLICATION PAPERS

### Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re The Application of:      | ) |                            |
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| Roger D. Pannell               | ) |                            |
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| P.1 1 A                        | ) |                            |
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|                                | ) |                            |
| For: SYSTEM AND METHOD FOR IN- | ) |                            |
| CREASING THE COUNT OF          | ) |                            |
| OUTSTANDING SPLIT TRANS-       | ) |                            |
| ACTIONS                        |   |                            |
|                                |   | Cesari and McKenna, LLP    |
|                                |   | 88 Black Falcon Avenue     |
|                                |   | Boston, MA 02210           |
|                                |   | October 29, 2001           |
|                                |   | OCIOUEI 27, 2001           |

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Safiya Jarvis

Honorable Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

# RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Enclosed in response to the Notice to File Corrected Application Papers mailed October 4, 2001, is a Letter to Official Draftsman accompanied by formal drawings for this Response.



Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

Michael R. Reinemann

Reg. No. 38,280

CESARI AND MCKENNA, LLP

88 Black Falcon Avenue

Boston, MA 02210-2414

(617) 951-2500

